IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Hwan JEONG, et al.

Serial No.

Group Art Unit:

Date Filed

Concurrently Herewith

Examiner:

For

OPTICAL INTERLEAVING WITH ENHANCED SPECTRAL RESPONSE AND REDUCED POLARIZATION SENSITIVITY

1185 Avenue of the Americas New York, N.Y. 10036

Assistant Commissioner for Patents **Box Patent Application** Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicants' duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the information identified herein are also provided.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

This Information Disclosure Statement is being filed concurrently with this application.

The Office is hereby authorized to charge any fees that may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Ivan S. Kavrukov

Registration No. 25,161

Attorney for Applicants

Cooper & Dunham LLP

Tel. (212) 278-0400

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orm PTO-1449	U.S. Department of Commerce
	Patent and Trademark Office
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Applicant

Filing Date

Atty. Docket No.

Concurrently herewith

0980/63993

Hwan J. JEONG, et al.

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Serial No.

BY APPLICANT (Use several sheets if necessary)

INFORMATION DISCLOSURE CITATION

U.S. PATENT DOCUMENTS

Examiner Initial			Do	cum	ent	Nur	nbei	•	Date	Name	Class	Subclass	Filing Date if Appropriate	
	AA	5	6	9	4	2	3	3	12/02/97	Wu et al.				
	AB	5	8	0	8	7	6	3	09/15/98	Duck et al.				
_	AC	5	8	6	7	2	9	1	02/02/99	Wu et al.				
	AD	5	9	1	2	7	4	8	06/15/99	Wu et al.				
	AE	5	9	3	3	2	6	0	08/03/99	Cao et al.				
	AF	5	9	5	3	1	3	9	09/14/99	Nemecek et al.				
	AG	5	9	7	8	1	1	6	11/02/99	Wu et al.				
_	AH	6	0	4	0	9	3	2	03/21/00	Duck et al.				
	AI	6	0	4	6	8	5	4	04/04/00	Bhagavatula				
	AJ	6	0	7	5	5	9	6	06/13/00	Pan et al.				
	AK	6	1	2	5	2	2	0	09/26/00	Copner et al.				

FOREIGN PATENT DOCUMENTS

	Document Number							ber Date Country		Class	Subclass	Translation	
												Yes	No
AL	wo	00	4	8	0	5	5	08/17/00	PCT				
AM	wo	00	5	1	2	4	7	08/31/00	PCT				
 AN	wo	00	7	6	1	0	4	12/14/00	PCT				
AO	0	9	3	3	6	5	7	04/08/99	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

- AP Benjamin B. Dingel and Masayuki Izutsu, "Multifunction optical filter with a Michelson-Gires-Tournois interferometer for wavelength-division-multiplexed network system applications", Optics Letters, Optical Society of America, Vol. 23, No. 14, pages 1099-1101, July 15, 1998.
- AQ Chi-hung Huang, Yuan Li, Jin Chen, Erkin Sidick, Joseph Chon, Kevin G. Sullivan and Jerry Bautista, "Low-Loss Flat Top 50-Ghz DWDM and Add/Drop Modules Using All-Fiber Fourier Filters", National Fiber Optic Engineers Conference Technical Proceedings, Volume 2, pp. 311-316, August 27-31, 2000.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER DATE CONSIDERED *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if

28. AR Dutton, Understanding Optical Communications (Prentice Hall 1998), Contents and pages 234-298.

No. CFOI-50/000104.R09, 2 pages in length, (undated).

not in conformance and not considered. Include copy of this form with next communication to applicant.

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